

CONSUMERS ILLINOIS WATER COMPANY

ILL. C.C. No. 48  
Section No. 1  
Original Sheet No. 28

**RULES, REGULATIONS AND CONDITIONS OF SERVICE-SEWER**

such portion of the loan (as of the time of the debit) shall be recorded as an uncollectible account. The unpaid balance of principal and interest for the portion of a loan, if any, which relates to facilities owned and maintained by the customer shall be recorded as a non-utility expense.

- D. The Company's capital structure used for rate-making purposes will not include short-term debt issued by the Company to finance loans under this Rule.

**XI. EXTENSION OF SEWERS**

- A. The Company will extend its sewers within its service area on the following terms and conditions.

1. Collection sewers will be extended at locations acceptable to the Company only on public ways, alleys or easements that have been dedicated in such a manner as to clearly provide the Company with the perpetual right to own, operate and maintain a sanitary sewer system therein and in which grades have been established.
2. Upon application being made for an extension of a sewer, the Company shall determine (in accordance with Section XI, Paragraph A.7) the size of sewer and shall estimate the cost of the proposed extension, including pipe, lift stations, manholes, fittings, portions of Customer sewer lateral under proposed pavements, all other materials and all other costs such as labor, permits, the expenses incurred by the Company for supervision, engineering, insurance, tools and equipment, accounting and other overhead expenses.
3. If the estimated cost of the extension is not greater than one and one-half (1 1/2) times the Company's estimate of annual revenue to be received from Original Prospective Customers, the Company will finance and make

Issued: November 9, 2000

Effective: December 24, 2000

Issued By: Terry J. Rakocy, President

1000 S. Schuyler Ave., Kankakee, IL 60901

CONSUMERS ILLINOIS WATER COMPANY

ILL. C.C. No. 48  
Section No. 1  
Original Sheet No. 29

**RULES, REGULATIONS AND CONDITIONS OF SERVICE-SEWER**

the extension without the requirement of any payment. If the estimated cost of the proposed extension exceeds one and one-half (1 1/2) times the Company's estimate of annual revenue from Original Prospective Customers, the applicant or the applicant's authorized agent shall contract for such extension and shall deposit with the Company the estimated cost of the extension less one and one-half (1 1/2) times such estimated annual revenue. Should the actual cost of the extension be less than the estimated cost, the Company shall refund the difference as soon as the actual cost has been ascertained. Should the actual cost be more than the estimated cost, the difference shall be paid by the applicant. The term "Original Prospective Customers" as used in this subparagraph 3 shall only include those Customers who sign contracts for at least one year's sewer service and guarantee to the Company that they will take sewer service at their premises within thirty (30) days after the date sewer service is available. Estimates of annual revenue shall be made by the Company and, if there are similarly situated Customers, shall be based on the experience of the Company regarding use of sewers by such similarly situated Customers.

4. During the first ten years after the date of the deposit, if the extension abuts property that the applicant does not have an interest in, the Company will prorate the cost of the extension on a front foot or per lot basis and if during the term of the extension agreement, the Owner or occupant of such property requests sewer service, the Company shall collect from such new applicant an amount equal to such applicant's pro rata cost of the extension less one and one-half (1 1/2) times the estimated annual revenue to be received from such applicant and shall refund such amount to the original applicant. The total amount refunded shall not exceed the original deposit, without interest, and all or any part of such deposit not refunded within said ten (10) year period shall become the property of the Company.

Issued: November 9, 2000

Effective: December 24, 2000

Issued By: Terry J. Rakocy, President

1000 S. Schuyler Ave., Kankakee, IL 60901

CONSUMERS ILLINOIS WATER COMPANY

ILL. C.C. No. 48  
Section No. 1  
Original Sheet No. 30

**RULES, REGULATIONS AND CONDITIONS OF SERVICE-SEWER**

5. Extensions made under this Rule shall be and remain the sole property of the Company.
6. The Company reserves the right to further extend its sewers from and beyond the terminus of each sewer extension made under this Rule. The applicant making a deposit hereunder shall not be entitled to any refund on account of any other or further extension or the attachment of any services to any other or further extension.
7. Extensions made under this Rule shall generally be made with pipe eight inches (8") in diameter, except that in special cases exceptions can be made by the Company to comply with sound engineering principles; provided, however, that sewer extensions shall in no event be less than six inches (6") in diameter. If the Company desires to make extensions of sewers with pipe larger than eight inches (8") in diameter, although not required to do so by sound engineering principles, the additional cost of the larger pipe shall be borne by the Company.
8. The Company may require a contract with the depositor outlining any or all of the above terms and conditions.

**XII. EXTENSION OF SEWERS - SPECIAL**

- A. Sewers may, at the discretion of the Company, be extended under the terms of Section XII, Paragraphs B through F in those areas where all of the following conditions exist:
  1. All lands abutting the dedicated public way or easement along which the extension is to be made are subdivided into lots not more than one acre in size.

Issued: November 9, 2000

Effective: December 24, 2000

Issued By: Terry J. Rakocy, President

1000 S. Schuyler Ave., Kankakee, IL 60901

CONSUMERS ILLINOIS WATER COMPANY

ILL. C.C. No. 48  
Section No. 1  
Original Sheet No. 31

RULES, REGULATIONS AND CONDITIONS OF SERVICE-SEWER

2. No one individual, partnership or corporation or an affiliated group of individuals, partnerships and/or corporations owns or has an interest in more than twenty percent (20%) of the lots to be improved by the extension.
  3. At least eighty percent (80%) of the lots to be improved would be reasonably expected to take service from the extension within ten (10) years of the date of its completion.
- B. The Company shall bear the full initial cost of the extension.
- C. The total cost of the extension, including all labor, material, engineering, supervision and direct construction overheads shall be divided by eighty percent (80%) of the total number of lots to be improved by the extension. The figure thus derived shall be considered the "per lot cost" of the sewer improvement.
- D. Extensions made under this Rule shall generally be made with pipe eight inches (8") in diameter, except that in special cases exceptions can be made by the Company to comply with sound engineering principles; provided, however, that such sewer extensions shall in no event be less than six inches (6") in diameter. If the Company desires to make extensions of sewer with pipe larger than eight inches (8") in diameter, although not required to do so by sound engineering principles, the additional cost of the larger pipe shall be deducted from the total cost before computing the "per lot cost" as described in Section XII, Paragraph C.
- E. Any Customer making application for sewer service from the sewer extension will be required to make a "Contribution in Aid of Construction" equal to the "per lot cost" less eighteen (18) times the monthly flat rate or availability charge applicable to the type of service requested by such customer at the time of application. If eighteen (18) times the monthly flat rate or availability charge for

Issued: November 9, 2000

Effective: December 24, 2000

Issued By: Terry J. Rakocy, President

1000 S. Schuyler Ave., Kankakee, IL 60901

CONSUMERS ILLINOIS WATER COMPANY

ILL. C.C. No. 48  
Section No. 1  
Original Sheet No. 32

**RULES, REGULATIONS AND CONDITIONS OF SERVICE-SEWER**

the type of service requested is equal to or exceeds the "per lot cost," no contribution will be required.

- F. Extensions installed pursuant to this Section XII shall be and remain the property of the Company.

**XIII. GENERAL CONDITIONS**

- A. The Company reserves the right at any time to alter, amend, change or add to these Rules and Regulations or to substitute other Rules and Regulations, subject to the approval of the Illinois Commerce Commission or other regulatory body having jurisdiction.
- B. No representative, employee or agent of the Company has the right to alter or waive any of these Rules and Regulations without the consent or approval of the Illinois Commerce Commission or other regulatory body having jurisdiction thereof.
- C. No employee or agent of the Company shall have the right or authority to bind the Company by any promise, agreement or representation contrary to the letter or intent of these Rules and Regulations.

Issued: November 9, 2000

Effective: December 24, 2000

Issued By: Terry J. Rakocy, President

1000 S. Schuyler Ave., Kankakee, IL 60901

Westlake Utilities, Inc.

Order No. 01-0090  
☒ Law ☐ Tariff Prov.  
CHECK FOR COMPLIANCE

01-0645  
I-AWC Exhibit 3.02  
Page 1 of 5  
ILL. C.C. No. 1

OCT 09 2001

Section No. 1

Original Sheet No. 20

PUBLIC UTILITIES DIVISION  
Engineering Department

RULES, REGULATIONS AND CONDITIONS OF SERVICE - SEWER

above shall bar the enforcement by the Company of any rights and remedies it may have under law, including its tariffs.

9. The Company will inspect all new structures prior to commencement of water and sewer service thereto to determine compliance with Rule V(A) or Rule II, Paragraph G.12. If and when the premises are in compliance, the Company shall issue a Certificate of Compliance. No service shall be rendered to such premises or property unless the Owner, Customer, or Tenant thereof shall have been issued a Certificate of Compliance which is in effect.
10. Non-compliance with Rule V(A) exists when any connections or facilities are found by the Company that will permit storm water, surface water, groundwater, or other non-sanitary sewage drainage to enter into the sanitary sewer, regardless of whether actual flow is observed.
11. Should the Company find non-compliance after issuance of a Certificate of Compliance, the certificate shall be immediately voided and without legal effect. The Company will then give written notice to the Customer describing the non-compliance and stating that the Customer shall have a period of thirty (30) days from the date of such notice to achieve compliance with Rule V(A) and to make an appointment for another inspection by the Company. At the time said reinspection is conducted, the Customer will be required to provide the Company with a certified statement from a licensed plumber verifying that the infraction resulting in the non-compliance status has been corrected in a manner permanent in nature that would make the possibility of reoccurrence highly improbable.

RECEIVED  
OCT 05 2001  
ILLINOIS COMMERCE COMMISSION  
CHIEF CLERK'S OFFICE

X. EXTENSION OF SEWERS

A. The Company will extend its sewers on the following terms and conditions.

1. Collection sewers will be extended at locations acceptable to the Company only on public ways, alleys or easements that have been dedicated in such

Issued: October 4, 2001

Effective: October 9, 2001

Issued By: Carl J. Wenz, Vice President, Regulatory Matters

2335 Sanders Road Northbrook, IL 60062

Westlake Utilities, Inc.

Order No. 01-0050  
Law Tariff Prov  
CHIEF OF ENGINEERING

01-0645  
I-AWC Exhibit 3.02  
Page 2 of 5  
ILL. C.C. No. 1  
Section No. 1  
Original Sheet No. 21

OCT 09 2001

PUBLIC UTILITIES DIVISION  
Engineering Department

RULES, REGULATIONS AND CONDITIONS OF SERVICE - SEWER

a manner as to clearly provide the Company with the perpetual right to own, operate and maintain a sanitary sewer system therein and in which grades have been established.

2. Upon application being made for an extension of a sewer, the Company shall determine (in accordance with Section XI, Paragraph A.7) the size of sewer and shall estimate the cost of the proposed extension, including pipe, lift stations, manholes, fittings, portions of Customer sewer lateral under proposed pavements, all other materials and all other costs such as labor, permits, the expenses incurred by the Company for supervision, engineering, insurance, tools and equipment, accounting and other overhead expenses.
3. If the estimated cost of the extension is not greater than one and one-half (1 1/2) times the Company's estimate of annual revenue to be received from Original Prospective Customers, the Company will finance and make the extension without the requirement of any payment. If the estimated cost of the proposed extension exceeds one and one-half (1 1/2) times the Company's estimate of annual revenue from Original Prospective Customers, the applicant or the applicant's authorized agent shall contract for such extension and shall deposit with the Company the estimated cost of the extension less one and one-half (1 1/2) times such estimated annual revenue. Should the actual cost of the extension be less than the estimated cost, the Company shall refund the difference as soon as the actual cost has been ascertained. Should the actual cost be more than the estimated cost, the difference shall be paid by the applicant. The term "Original Prospective Customers" as used in this subparagraph 3 shall only include those Customers who sign contracts for at least one year's sewer service and guarantee to the Company that they will take sewer service at their premises within thirty (30) days after the date sewer service is available. Estimates of annual revenue shall be made by the Company and, if there are similarly situated Customers, shall be based on the experience of the Company regarding use of sewers by such similarly situated Customers.

Issued: October 4, 2001

Effective: October 9, 2001

Issued By: Carl J. Wenz, Vice President, Regulatory Matters

2335 Sanders Road Northbrook, IL 60062

OCT 05 2001  
ILLINOIS COMMUNITY DEVELOPMENT  
CHIEF ENGINEERING OFFICE

Westlake Utilities, Inc.

Order No. 01-0050  
Law Tariff Prov.  
CHECK FOR COMPLIANCE

01-0645  
I-AWC Exhibit 3.02  
Page 3 of 5  
ILL. C.C. No. 1  
Section No. 1

OCT 09 2001

PUBLIC UTILITIES DIVISION  
Engineering Department

Original Sheet No. 22

RULES, REGULATIONS AND CONDITIONS OF SERVICE - SEWER

4. During the first ten years after the date of the deposit, if the extension abuts property that the applicant does not have an interest in, the Company will prorate the cost of the extension on a front foot or per lot basis and if during the term of the extension agreement, the Owner or Tenant of such property requests sewer service, the Company shall collect from such new applicant an amount equal to such applicant's pro rata cost of the extension less one and one-half (1 1/2) times the estimated annual revenue to be received from such applicant and shall refund such amount to the original applicant. The total amount refunded shall not exceed the original deposit, without interest, and all or any part of such deposit not refunded within said ten (10) year period shall become the property of the Company.
5. Extensions made under this Rule shall be and remain the sole property of the Company.
6. The Company reserves the right to further extend its sewers from and beyond the terminus of each sewer extension made under this Rule. The applicant making a deposit hereunder shall not be entitled to any refund on account of any other or further extension or the attachment of any services to any other or further extension.
7. Extensions made under this Rule shall generally be made with pipe eight inches (8") in diameter, except that in special cases exceptions can be made by the Company to comply with sound engineering principles; provided, however, that sewer extensions shall in no event be less than six inches (6") in diameter. If the Company desires to make extensions of sewers with pipe larger than eight inches (8") in diameter, although not required to do so by sound engineering principles, the additional cost of the larger pipe shall be borne by the Company.
8. The Company may require a contract with the depositor outlining any or all of the above terms and conditions.

Issued: October 4, 2001

Effective: October 9, 2001

Issued By: Carl J. Wenz, Vice President, Regulatory Matters

2335 Sanders Road Northbrook, IL 60062

RECEIVED  
OCT 05 2001  
ILLINOIS COMMERCE COMMISSION  
CHIEF CLERK'S OFFICE



Westlake Utilities, Inc.

OCT 09 2001

PUBLIC UTILITIES DIVISION  
Engineering Department

Original Sheet No. 23

RULES, REGULATIONS AND CONDITIONS OF SERVICE - SEWER

XI. EXTENSION OF SEWERS - SPECIAL

- A. Sewers may, at the discretion of the Company, be extended under the terms of Section XII, Paragraphs B through F in those areas where all of the following conditions exist:
1. All lands abutting the dedicated public way or easement along which the extension is to be made are subdivided into lots not more than one acre in size.
  2. No one individual, partnership or corporation or an affiliated group of individuals, partnerships and/or corporations owns or has an interest in more than twenty percent (20%) of the lots to be improved by the extension.
  3. At least eighty percent (80%) of the lots to be improved would be reasonably expected to take service from the extension within ten (10) years of the date of its completion.
- B. The Company shall bear the full initial cost of the extension.
- C. The total cost of the extension, including all labor, material, engineering, supervision and direct construction overheads shall be divided by eighty percent (80%) of the total number of lots to be improved by the extension. The figure thus derived shall be considered the "per lot cost" of the sewer improvement.
- D. Extensions made under this Rule shall generally be made with pipe eight inches (8") in diameter, except that in special cases exceptions can be made by the Company to comply with sound engineering principles; provided, however, that such sewer extensions shall in no event be less than six inches (6") in diameter. If the Company desires to make extensions of sewer with pipe larger than eight inches (8") in diameter, although not required to do so by sound engineering principles, the additional cost of the larger pipe shall be deducted from the total cost before computing the "per lot cost" as described in Section XII, Paragraph C.

Issued: October 4, 2001

Effective: October 9, 2001

Issued By: Carl J. Wenz, Vice President, Regulatory Matters

2335 Sanders Road Northbrook, IL 60062

RULES, REGULATIONS AND CONDITIONS OF SERVICE - SEWER

- E. Any Customer making application for sewer service from the sewer extension will be required to make a "Contribution in Aid of Construction" equal to the "per lot cost" less eighteen (18) times the monthly flat rate applicable to the type of service requested by such customer at the time of application. If eighteen (18) times the monthly flat rate for the type of service requested is equal to or exceeds the "per lot cost," no contribution will be required.
- F. Extensions installed pursuant to this Section XII shall be and remain the property of the Company.

**XII. GENERAL CONDITIONS**

- A. The Company reserves the right at any time to alter, amend, change or add to these Rules, Regulations, and Conditions of Service or to substitute other Rules, Regulations, and Conditions of Service, subject to the approval of the Illinois Commerce Commission or other regulatory body having jurisdiction.
- B. No representative, employee or agent of the Company has the right to alter or waive any of these Rules, Regulations, and Conditions of Service without the consent or approval of the Illinois Commerce Commission or other regulatory body having jurisdiction thereof.
- C. No employee or agent of the Company shall have the right or authority to bind the Company by any promise, agreement or representation contrary to the letter or intent of these Rules, Regulations, and Conditions of Service.

FILED IN COMPLIANCE WITH  
☒ Order No. 01-0050 ☐ Tariff Prov.  
☐ Law  
CHECK FOR COMPLIANCE

RECEIVED

OCT 05 2001

OCT 09 2001

PUBLIC UTILITIES DIVISION  
Engineering Department

ILLINOIS COMMERCE COMMISSION  
CHIEF CLERK'S OFFICE

Issued: October 4, 2001

Effective: October 9, 2001

Issued By: Carl J. Wenz, Vice President, Regulatory Matters

2335 Sanders Road Northbrook, IL 60062